

Company Name _____

EPA ID# No. _____

Inspection Date _____

Part V

LARGE QUANTITY GENERATOR

Indicate:

X Violations

Indicate:

X Satisfactory
NA Not Applicable

The generator who generates 1,000 kilograms or more per month of non-acute hazardous waste or generates greater than 1 kg per month of acute hazardous waste has complied with the following:

1. General Requirements

- (a) ____ The generator has made a determination as to whether or not his solid waste is a hazardous waste - 372.2(a)(2). _____
- (b) ____ The generator has obtained an EPA identification number - 372.2(a)(3). _____
- (c) ____ Before transporting or offering hazardous waste for * transportation off-site the generator has packaged the waste in accordance with the applicable USDOT regulations - 372.2(a)(4). _____
- (d) ____ Before transporting or offering hazardous waste for * transportation off-site the generator has labeled each package of waste in accordance with the applicable USDOT regulations - 372.2(a)(5). _____
- (e) ____ Before transporting or offering hazardous waste for * transportation off-site the generator has marked each container or package of waste properly - 372.2(a)(6). _____

* Note: This does not apply to drums in storage.

2. Accumulation Area Requirements - 372.2(a)(i)

- (a) ____ The containers appear to be in good condition and are not in danger of leaking - 373-3.9(b). _____
- (b) ____ Hazardous waste is stored in containers made of compatible materials - 373-3.9(c). _____
- (c) ____ All containers except those in use are closed - 373-3.9(d)(1). _____
- (d) ____ Containers holding hazardous waste must not be opened, handled or stored in a manner which may rupture the containers or cause them to leak - 373-3.9(d)(2). _____
- (e) ____ Containers are marked with the words "Hazardous Waste" and with other words that identify the contents of the containers - 372.2(a)(8)(i)(a)(2). _____

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- (f) ___ Hazardous waste may be accumulated in excess of 55 gallons or 1 quart of acutely hazardous waste at or near the point of generation provided that Section 372.2(a)(8)(ii) requirements are met within 3 days, and the container holding the excess accumulation is marked with the date the excess amount began accumulating - 372.2(a)(8)(i)(b). ___

3. 90 Day Storage - 372.2(a)(8)(ii)

- (a) ___ All wastes are shipped off-site to an authorized treatment, storage or disposal facility (TSDF) in 90 days or less - 372.2(a)(8)(ii). ___
- (b) ___ The date upon which each period of accumulation begins is clearly marked and visible for inspection on each container - 372.2(a)(8)(ii), 373-1.1(d)(1)(iii)(c)(2), 373-1.1(d)(1)(iv)(d). ___

Container Storage Requirements (This section will also be completed for interim status TSDF's as referred from Part VI.)

- (c) ___ The containers appear to be in good condition and are not in danger of leaking. (If containers are leaking, describe the type, condition, contents and number that are leaking or corroded. Be detailed and specific) - 373-3.9(b). ___

- (d) ___ Hazardous waste is stored in containers made of compatible materials - 373-3.9(c). (If not, please explain.) ___

- (e) ___ All containers except those in use are closed - 373-3.9(d)(1). ___

- (f) ___ Containers holding hazardous waste must not be opened, handled or stored in a manner which may rupture the containers or cause them to leak - 373-3.9(d)(2). ___

- (g) ___ Each container is marked with the words "Hazardous Waste" and with other words to identify the contents - 373-3.9(d)(3). ___

- (h) ___ The container storage area is inspected at least weekly - 373-3.9(e). ___

- (i) ___ The generator complies with the following special requirements for storage of ignitable or reactive wastes - 373-3.9(f): ___

- (1) ___ Containers holding ignitable or reactive waste are located at least 15 meters (50 feet) from the facility property line - 373-3.9(f). ___

- (2) ___ Generator has taken precautions to prevent accidental ___

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ignition or reaction of ignitable or reactive waste by separating and protecting such waste from sources of ignition or reaction - 373-3.2(h)(1).

- (3) ___ Generator has placed "No Smoking" signs conspicuously wherever there is a hazard from ignitable or reactive waste - 373-3.2(h)(1). ___
- (j) ___ The generator complies with the following special requirements related to incompatible wastes - 373-3.9(g): ___
- (1) ___ Incompatible wastes, or incompatible wastes and materials, are not placed in the same container, or in an unwashed container that previously held an incompatible waste or material unless the placement is conducted to prevent the following - 373-3.9(g)(1) & (2): ___
- (a) ___ the generation of extreme heat or pressure, fire or explosion, or violent reaction - 373-3.2(h)(2)(i); ___
- (b) ___ production of uncontrolled toxic mists, fumes, dusts or gases in sufficient quantities to pose a risk of fire or explosions - 373-3.2(h)(2)(ii); ___
- (c) ___ production of uncontrolled flammable fumes or gases in sufficient quantities to pose a risk of fire or explosions - 373-3.2(h)(2)(iii); ___
- (d) ___ damage to the structural integrity of the device or facility containing the waste - 373-3.2(h)(2)(iv); or ___
- (e) ___ a threat to human health or the environment - 373-3.2(h)(2)(v). ___
- (2) ___ Containers holding a hazardous waste that is incompatible with any waste or other materials stored nearby in other containers, piles, open tanks, or surface impoundments must be separated from the other materials or protected from them by means of a dike, berm, wall, or other device - 373-3.9(g)(3). ___
- (3) ___ The owner or operator shall manage all hazardous waste placed in containers in accordance with the applicable requirements of sections 373-3.27, 373-3.28 and 373-3.29 of the Subpart (Complete Appendix X) - 373-3.9(h). ___
- (k) ___ Special requirements for generators of liquid hazardous waste over sole source aquifers or generators that store more than 8,800 gallons of liquid hazardous waste - 373-1.1(d)(1)(iii), 373-1.1(d)(1)(iv). ___
- (1) ___ The container storage areas are within a secondary containment system designed and operated in accordance with the following* - 373-1.1(d)(1)(iv)(f): ___
- (a) ___ The base under the containers must be free of cracks or gaps and sufficiently impervious to contain collected material until it is removed - 373-2.9(f)(1)(i). ___

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(b) ____ The base must be sloped or the containment system ____
otherwise designed and operated to drain and remove
liquid unless the containers are elevated or protected
from contact with accumulated liquids - 373-2.9(f)(1)(ii).

(c) ____ The containment system must have sufficient capacity to ____
contain 10 percent of the volume of containers or the
volume of the largest container, whichever is greater.
Containers that do not contain free liquids are not
considered in this determination - 373-2.9(f)(1)(iii).

(d) ____ Run-on is prevented unless the system has sufficient ____
excess capacity over that required in (3) - 373-2.9(f)(1)(iv).

(e) ____ Accumulated waste and precipitation must be removed as ____
necessary to prevent overflow - 373-2.9(f)(1)(v).

* This requirement does not apply to generators of liquid hazardous waste
over a sole source aquifer if the container storage volume does not
exceed 185 gallons.

(2) ____ The generator of liquid hazardous waste over a sole source ____
aquifer has a written closure plan - 373-3.7(c)(1).

(3) ____ The closure plan identifies the steps necessary to perform ____
partial and/or final closure of the facility at any point
during its active life. The closure plan must contain the
information required by 373-3.7(c)(2)(i) - (vii)** -
373-3.7(c)(2).

** If a violation is checked, please attach a sheet listing the
deficiencies in the closure plan.

4. Tank Storage Requirements - 373-3.10

1. ____ Generators must complete Appendix E, except for 373-3.10(h)(3) Items
9C1 through 5. In addition, 373-3.7 and 3.8 which are cross-
referenced do not apply except for 373-3.7(b) and (e).
2. ____ Generators over sole-source aquifers complete Appendix E, except for
373-3.10(h)(3), Items 9C1 through 5 and 373-3.8 (financial
requirements).

5. Manifest, Reporting and Recordkeeping Requirements

(a) ____ Hazardous waste is shipped off-site with an ____
accompanying manifest - 372.2(b)(5)(i).

If violation is checked, please provide details.

(b) List the frequency of shipments and the amount of waste per shipment.

Indicate:

X Violations

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(c) ____ The transporter has a valid Part 364 permit or is otherwise ____
authorized to transport the waste to the designated
facility - 372.2(b)(5)(ii).

(d) ____ The generator offers for shipment or ships hazardous ____
waste to an authorized facility. - 372.2(b)(5)(iii).

If violation is checked, please provide details.

(e) ____ Each manifest is completed in accordance with the instructions found
in Appendix 30 of Part 372 - 372.2(b)(1). [Indicate items in
violation]

	Generator	Trans 1	Trans 2	TSDF	
(1) ____	Name of	____	____	____	____
(2) ____	EPA ID No. of	____	____	____	____
(3) ____	Mailing Address of	____		____	____
(4) ____	Telephone No. of	____	____	____	____
(5) ____	Manifest Document #				____
(6) ____	The proper USDOT description.				____
(7) ____	The appropriate: ____ quantity, ____ container number, ____ container type, and ____ waste type by units of weight or volume.				____
(8) ____	Signed certification that the materials are properly classified, described, packaged, marked and labeled, and are in proper condition for transportation under regulations of the USDOT and NYSDEC.				____

(f) ____ The generator has contacted the designated facility ____
immediately after not receiving signed copies of
manifests for wastes shipped off-site more than
35 days ago - 372.2(c)(3):

____ For these shipments, exception reports have been ____
submitted after not receiving signed copies of manifests
for **45 days** - 372.2(c)(3).

(g) ____ The generator must distribute copies of the manifest ____
as specified on the manifest form, postmarked within
five (5) business days of the shipment date - 372.2(b)(3).

(h) ____ For international shipments the generator has done the
following - 372.2(b)(4)(i):

(1) ____ The EPA and the Department have been notified 60 days ____

Indicate:

X Violations

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prior to shipment of the hazardous waste destined for treatment, storage or disposal outside the United States - 372.5(c)(1).

- (2) ____ Primary exporters of hazardous waste must file with the Administrator and the Department no later than March 1 of each year, a report summarizing the types, quantities, frequency, and ultimate destination of all hazardous waste exported during the previous calendar year - 372.5(f)(1). ____
- (i) ____ The generator has complied with the requirements of Section 372.6 for interstate shipments - 372.2(b)(4)(ii). ____
- (j) ____ The generator has complied with the requirements for shipping by rail or water (bulk) found in Section 372.7 - 372.2(b)(4)(iii). ____
- (k) ____ A copy of each manifest has been kept for at least three years from the date the waste was accepted by the initial transporter - 372.2(c)(1)(i). ____
- (l) ____ A copy of each Annual Report and Exception Report must be kept for a period of at least three years from the due date of the report - 372.2(c)(1)(ii). ____
- (m) ____ A generator must keep records of any test results, waste analyses, or other determinations made in accordance with Part 372.2(a)(2) for at least three years - 372.2(c)(1)(iii). ____
- (n) ____ All records required under subdivision 372.2(c) were furnished upon request, or made available at a reasonable time for inspection - 372.2(c)(1)(iv). ____
- (o) ____ There is written communication that the designated treatment, storage or disposal facility is authorized for the hazardous wastes being offered for shipment, has capacity to accept such hazardous waste, and will assure the ultimate disposal method is followed - 372.2(b)(2)(i). ____
- (p) ____ There is written communication that the designated transporter is authorized to deliver the waste to the facility on the manifest - 372.2(b)(2)(ii). ____
- (q) ____ A generator who ships hazardous waste off-site to a treatment, storage or disposal facility located within the United States must submit an Annual Report on forms specified by the Commissioner - 372.2(c)(2). ____

6. Personnel Training - 373-3.2(g)

- (a) ____ The following documents and records are maintained at the facility - 373-3.2(g)(4): ____
- (1) ____ the job title for each position at the facility related to hazardous waste management and name of the employee filling each job - 373-3.2(g)(4)(i); ____
- (2) ____ a written job description for each position - ____

Indicate:

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NA Not Applicable

373-3.2(g)(4)(ii);

- (3) ___ a written description of the type and amount of both introductory and continuing training that will be given to each person related to hazardous waste management - 373-3.2(g)(4)(iii); and ___
- (4) ___ records that document that the training or job experience required has been given to and completed by facility personnel - 373-3.2(g)(4)(iv). ___
- (b) ___ The training program is directed by a person trained in hazardous waste management procedures and must include instruction which teaches facility personnel hazardous waste management procedures (including contingency plan implementation) relevant to the positions in which they are employed. The components are - 373-3.2(g)(1)(i), (ii) and (iii): ___
- (1) ___ Procedures for using, inspecting, repairing and replacing facility emergency and monitoring equipment; ___
- (2) ___ Key parameters for automated waste feed cutoff systems; ___
- (3) ___ Communications or alarm systems; ___
- (4) ___ Response to fires and explosions; ___
- (5) ___ Response to groundwater contamination incidents; and ___
- (6) ___ Shutdown of operations. ___
- (c) ___ Facility personnel have successfully completed the program by the effective date of these regulations or six months after the date of their employment - 373-3.2(g)(2). ___
- (d) ___ Facility personnel have taken part in an annual review of the initial training required - 373-3.2(g)(3). ___
- (e) ___ Training records on current personnel have been kept permanently at the facility (until closure) - 373-3.2(g)(5). ___
- (f) ___ Training records on former employees have been kept for at least three years from the date the employee last worked at the facility - 373-3.2(g)(5). ___

7. Preparedness and Prevention - 373-3.3

- (a) ___ The facility is maintained and operated to minimize the possibility of a fire or explosion, or any unplanned sudden or non-sudden release of hazardous waste or hazardous waste constituents to air, soil or surface water - 373-3.3(b). ___
- (b) ___ The facility must be equipped with the following, unless none of the hazards posed by waste handled at the facility could require a particular kind of equipment specified below - 373-3.3(c): ___

Indicate:

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Indicate:

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- (1) ____ An internal communication or alarm system capable of providing immediate emergency instruction (voice or signal) to facility personnel - 373-3.3(c)(1); ____
- (2) ____ A device, such as a telephone (immediately available at the scene of operations) or a hand-held, two-way radio capable of summoning emergency assistance from local police departments, fire departments, or emergency response teams - 373-3.3(c)(2); ____
- (3) ____ Portable fire extinguishers, fire control equipment, spill control equipment and decontamination equipment - 373-3.3(c)(3); and ____
- (4) ____ Water at adequate volume and pressure to supply water hose streams, or foam-producing equipment, or automatic sprinklers, or water spray systems - 373-3.3(c)(4). ____
- (c) ____ Facility communications or alarm systems, fire protection equipment, and spill control equipment are tested and maintained as necessary to assure their proper operation in time of emergency - 373-3.3(d). ____
- (d) ____ Personnel involved in hazardous waste operations have immediate access to an internal alarm or emergency communication device either directly or through visual or voice contact with another employee - 373-3.3(e). ____
- (e) ____ The owner or operator must maintain aisle space to allow the unobstructed movement of personnel, fire protection equipment, spill control equipment, and decontamination equipment to any area of facility operation in an emergency unless aisle space is not needed for any of these purposes - 373-3.3(f). ____
- (f) ____ The facility owner or operator has attempted to make the following arrangements as appropriate with local authorities for the type of waste handled at the facility and the potential need for the services of these organizations - 373-3.3(g)(1): ____
- (1) ____ Arrangements to familiarize police, fire departments and emergency response teams with the functions and layout of the facility - 373-3.3(g)(1)(i); ____
- (2) ____ Where more than one police and fire department might respond to an emergency, an agreement designating primary emergency authority to a specific police and a specific fire department, and agreements with any others to provide support to primary emergency authority - 373-3.3(g)(1)(ii); ____
- (3) ____ Agreements with State emergency response teams, emergency response contractors, and equipment suppliers - 373-3.3(g)(1)(iii); and ____
- (4) ____ Arrangements to familiarize local hospitals with the properties of hazardous waste handled at the facility and the types of injuries or illnesses which could result from fires, explosions or releases at the ____

Indicate:

X Violations

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facility - 373-3.3(g)(1)(iv).

- (g) ____ Where state or local authorities decline to enter into such arrangements, the owner or operator has documented the refusal in the operating record - 373-3.3(g)(2). ____

8. Contingency Plan - 373-3.4

- (a) ____ The facility has a contingency plan or some other emergency plan which incorporates hazardous waste management - 373-3.4(b)(1). ____

- (b) ____ If the facility has a Spill Prevention, Control, and Countermeasure Plan (SPCC) or some other emergency plan, that plan need only be modified to incorporate hazardous waste management provisions that are sufficient to comply with the Contingency plan requirements - 373-3.4(c)(2). ____

- (c) ____ The following are included in the contingency plan - 373-3.4(c): ____

- (1) ____ A description of the actions facility personnel must take in response to fires, explosions or any unplanned sudden or non-sudden releases of hazardous waste or hazardous waste constituents to air, soil or surface water; 373-3.4(c)(1). ____

- (2) ____ A description of arrangements agreed to by local police departments, fire departments, hospitals, contractors, and State and local emergency response teams to coordinate emergency services; 373-3.4(c)(3). ____

- (3) ____ Names, addresses and office and home phone numbers of all persons qualified to act as emergency coordinator; 373-3.4(c)(4). ____

- (4) ____ An up-to-date list of all emergency equipment at the facility, and decontamination equipment, where this equipment is required; 373-3.4(c)(5). ____

- (5) ____ The location and a physical description of each item on the list, and a brief outline of its capabilities; 373-3.4(c)(5). ____

- (6) ____ An evacuation plan for facility personnel, where there is a possibility that evacuation could be necessary - 373-3.4(c)(6). ____

- (d) ____ Copies of the contingency plan are maintained at the facility - 373-3.4(d)(1). ____

- (e) ____ Copies of the contingency plan have been submitted to all local police departments, fire departments, hospitals, and State and local emergency response teams that may be called upon to provide emergency services - 373.3.4(d)(2). ____

- (f) ____ The contingency plan has been amended, as necessary, when applicable regulations were revised, the plan failed in an emergency, the facility changes or the list of emergency ____

Indicate:

X Violations

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coordinators or equipment changes - 373-3.4(e).

- (g) ___ There is at least one employee either on the facility premises or on call with the responsibility and authority for coordinating all emergency response measures - 373-3.4(f). ___

9. Emergency Procedures - 373-3.4(g)

- (a) ___ During a past emergency situation the emergency coordinator (or his designee when the emergency coordinator is not on call) immediately activated emergency procedures - 373-3.4(g).* ___

*Do not go back further than the previous inspection date.

- (b) ___ The following was done:

- (1) ___ Activated internal facility alarms or communication systems; ___
- (2) ___ Notified appropriate state or local agencies; ___
- (3) ___ Immediately identified the character, exact source, amount and areal extent of any released materials; ___
- (4) ___ The emergency coordinator assessed possible hazards to human health and the environment; ___
- (5) ___ The emergency coordinator, after determining that the facility had a release, fire or explosion which could threaten human health or the environment outside the facility, reported his findings; ___
- (6) ___ During the emergency, the emergency coordinator took all reasonable measures necessary to ensure that fire, explosions and releases do not occur, recur or spread to other hazardous waste; ___
- (7) ___ The emergency coordinator monitored for leaks, pressure buildup, gas generation or ruptures in valves, pipes or other equipment, where appropriate during the facility's response to the emergency; ___
- (8) ___ The emergency coordinator provided for treating, storing or disposing of recovered waste, contaminated soil or surface water, or any other material that resulted from a release, fire or explosion at the facility; ___
- (9) ___ The emergency coordinator ensured that in the affected area no waste that may be incompatible with the released material was treated, stored or disposed of ___

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until cleanup procedures were completed;

- (10)___ The emergency coordinator ensured that all emergency equipment listed in the contingency plan was cleaned and fitted for its intended use before operations were resumed; _____
- (11)___ The owner or operator notified the Commissioner that the facility is in compliance with Part 373-3.4(g)(8) before operations were resumed in the affected areas of the facility; _____
- (12)___ The owner or operator noted in the operating record the time, date and details of the incident that required implementation of the contingency plan; _____
- (13)___ The owner or operator submitted a complete written report on the incident within 15 days after the incident occurred. _____